

04/03/03

2152

PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/945,469
Filing Date	August 30, 2001
First Named Inventor	Ryan M. LaSalle
Group Art Unit	2152
Examiner Name	Not Assigned
Attorney Docket Number	05222.00130

Total Number of Pages in This Submission

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below) 5 Cited References Certificate of Express Mail Return Receipt Postcard
--	---	--

RECEIVED  
APR 10 2003  
GROUP 3600

### Remarks

Applicants believe no fee is due in connection with this submission. Should the Commissioner find that a fee is due, authorization is hereby given to charge any such fee, except the issue fee, to Deposit Account 19-0733.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Charles L. Miller Banner & Witcoff
Signature	
Date	April 2, 2003

RECEIVED

APR 07 2003

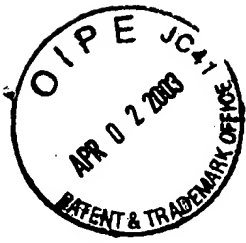
Technology Center 2100

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Typed or printed name			
Signature		Date	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Handwritten initials: H 41

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket No. 05222.00130)

In the Application of: )  
 )  
LaSalle, et al. )  
 ) Group Art Unit: 2152  
Serial No.: 09/945,469 )  
 ) Examiner: Not Assigned  
Filed: August 30, 2001 )  
 )  
For: TRANSITIVE TRUST NETWORK )

RECEIVED  
APR 07 2003

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

RECEIVED  
APR 10 2003  
GROUP 3600

Pursuant to 37 C.F.R. Section 1.97-1.98, applicants wish to make the following references of record in the above-identified application. These references may be material to the patentability of the presently pending claims. A completed Form PTO/SB/08A is enclosed.


This Information Disclosure Statement is being filed before the issuance of the first Office Action on the merits, accordingly, no fee or separate requirements are required. However, if a fee is due in connection with this filing, please charge any fees or credit overpayment associated with this paper to Deposit Account No. 19-0733.

Under 37 CFR §1.97(g), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made.

Under 37 CFR §1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the cited references are, or are considered to be, material to patentability as defined in 37 CFR §1.56(b).

Respectfully submitted,  
BANNER & WITCOFF, LTD.

Date: April 2, 2003

  
Charles L. Miller  
Reg. No. 43,805

Banner & Witcoff, Ltd.  
10 South Wacker Drive  
Suite 3000  
Chicago, Illinois 60606  
(312)463-5000



CERTIFICATE OF MAILING BY EXPRESS MAIL  
(Attorney Docket No. 05222.00130)

Express Mail No EV 21670950413

Deposited April 2, 2003

RECEIVED  
APR 10 2003  
GROUP 8600

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR § 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, DC 20231.

By: Xe JKA  
(person actually depositing)

In the Application of: LaSalle, et al.

Serial No.: 09/945,469

Date Filed: August 30, 2001

Title: TRANSITIVE TRUST NETWORK

☒ Transmittal Form PTO/SB/21 ( in duplicate)

☒ Form PTO/SB/08A and 5 Cited References

☒ Information Disclosure Statement

☒ Return Receipt Postcard

Attorney Docket No.: 05222.00130

RECEIVED  
APR 07 2003  
Technology Center 2100